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TECHNICAL CENTER 2800

PATENT

Atty Docket No.: 10004808-1

**In The U.S. Patent and Trademark Office**

**In Re the Application of:**

**Inventor(s):** Osamu S. Nakagawa et al. **Confirmation No.:** 3635  
**Serial No.:** 09/891,324 **Examiner:** Schillinger, Laura M.  
**Filed:** June 27, 2001 **Group Art Unit:** 2813  
**Title:** PROCESS FOR HIGH-DIELECTRIC CONSTANT METAL-INSULATOR  
METAL CAPACITOR IN VLSI MULTI-LEVEL METALIZATION SYSTEMS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF HAND-DELIVERY WITH THE USPTO**

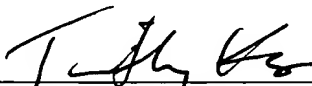
I hereby certify that this correspondence is being deposited with the United States Patent and Trademark Office. This correspondence contains the following document(s):

1 sheet of Transmittal Letter for Response/Amendment. (2 copies).  
9 sheets of Amendment Under 37 C.F.R. § 1.111.

Respectfully submitted,

MANNAVA & KANG, P.C.

On May 24, 2004

  
\_\_\_\_\_  
Timothy B. Kang  
Reg. No.: 46,423

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UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Osamu S. Nakagawa et al.

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Examiner: Laura Schillinger

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IN VLSI MULTI-LEVEL METALIZATION SYSTEMS

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TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- (X) Response/Amendment ( ) Petition to extend time to respond  
( ) New fee as calculated below ( ) Supplemental Declaration  
(X) No additional fee (Address envelope to "Mail Stop Non-Fee Amendment")  
( ) Other: \_\_\_\_\_ (fee \$ \_\_\_\_\_)

CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	13	MINUS	20	= 0	X \$18	\$ 0
INDEP. CLAIMS	1	MINUS	3	= 0	X \$86	\$ 0
[ ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$290	\$ 0
EXTENSION FEE	1ST MONTH \$110.00	2ND MONTH \$420.00	3RD MONTH \$950.00	4TH MONTH \$1480.00		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Osamu S. Nakagawa et al.

By Timothy B. Kang  
Timothy B. Kang

Attorney/Agent for Applicant(s)  
Reg. No. 46,423

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

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**HEWLETT-PACKARD COMPANY**

Intellectual Property Administration

P.O. Box 272400

Fort Collins, Colorado 80527-2400

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**MAIL STOP NON-FEE AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**AMENDMENT UNDER 37 C.F.R. § 1.111**

Sir:

In response to the Official Action dated February 25, 2004, kindly amend the application identified above as follows. In the following, underlines indicate additions and strikethroughs indicate deletions.